# Faster approximation for Symmetric Min-Power Broadcast

G. Calinescu \*

### Abstract

Given a directed simple graph G = (V, E) and a cost function  $c : E \to R_+$ , the *power* of a vertex u in a directed spanning subgraph H is given by  $p_H(u) = \max_{uv \in E(H)} c(uv)$ , and corresponds to the energy consumption required for the wireless node u to transmit to all nodes v with  $uv \in E(H)$ . The *power* of H is given by  $p(H) = \sum_{u \in V} p_H(u)$ .

Power Assignment seeks to minimize p(H) while H satisfies some connectivity constraint. In this paper, we assume E is bidirected (for every directed edge  $e \in E$ , the opposite edge exists and has the same cost), a "source"  $y \in V$  is also given as part of the input, and H is required to contain a directed path from y to every vertex of V. This is the NP-Hard Symmetric Min-Power Broadcast problem.

In terms of approximation, it is known that one cannot obtain a ratio better than  $\ln |V|$ , and at least five algorithms with approximation ratio  $O(\ln |V|)$  have been published from 2002 to 2007. Here we take one of them, the  $2(1+\ln |V|)$ -approximation of Fredrick Mtenzi and Yingyu Wan, and improve its running time from O(|V||E|) to  $O(|E|\log^2 |V|)$ , by careful bookkeeping and by using a previously-known geometry-based data structure.

## 1 Introduction

We study the problem of assigning transmission power to the nodes of ad hoc wireless networks to minimize power consumption while ensuring that the given source reaches all the nodes in the network (unidirectional links allowed for broadcast), in the symmetric cost model. This problem takes as input a directed simple graph G = (V, E) and a cost function  $c : E \to R_+$ . The power of a vertex u in a directed spanning simple subgraph H of G is given by  $p_H(u) = \max_{uv \in E(H)} c(uv)$ , and corresponds to the energy consumption required for the wireless node u to transmit to all nodes v with  $uv \in E(H)$ . The power (or total power) of H is given by  $p(H) = \sum_{u \in V} p_H(u)$ . A "source" (called "root" sometimes in the literature)  $y \in V$  is also given as part of the input, and H is required to contain a directed path from y to every vertex of V; we call the problem of minimizing the total power while ensuring this connectivity Symmetric Min-Power Broadcast. Among early work on this problem we mention [22, 24, 11, 23].

This problem is motivated by minimizing energy consumption in a static multi-hop wireless network, where c(u, v) represents the transmission power wireless node u must spend to ensure a packet is received by node v. Our model is that wireless nodes have several levels of transmission power. A packet sent by u with power p is received by all nodes v with  $c(u, v) \leq p$ . This feature is useful for energy-efficient multicast and broadcast communications.

In some wireless settings, it is reasonable to assume that u and v are embedded in the two-dimensional Euclidean plane, and c(u, v) is proportional to the distance from the position of u to the position of v, raised to a power  $\kappa$ , where  $\kappa$  is fixed constant between 2 and 5. This is the Euclidean input model.

We do not work in the Euclidean input model, but make a (less-restrictive) "symmetric" assumption that E is bidirected, (that is,  $uv \in E$  if and only if  $vu \in E$ , and the two edges have the same cost).

A survey of Power Assignment problems is given by Santi [20]; like there we only consider centralized algorithms (there is a vast literature on distributed algorithms). Even in the Euclidean input model, Min-Power Broadcast was proven NP-Hard [11], and it was a folklore result in 2000 that Symmetric Min-Power Broadcast is as hard to approximate as Set Cover (this appears in several papers [11, 17, 23, 7, 2, 16]). Based on Feige's hardness result for Set Cover [12], no approximation ratio better than  $O(\ln n)$  is possible unless P = NP. Here n = |V|, and from now on m = |E|.

The first  $O(\log n)$  approximation algorithm was given by Caragiannis et al. [7] (journal version: [8]). A similar algorithm was presented in [3], and the simplest and best variant (ratio of  $2(1 + \ln n)$ ) of this algorithm was presented by [18] and achieves a O(mn) running time (their analysis claims  $O(mn\alpha(mn))$  running time, but one observation can get rid of the inverse Ackermann function  $\alpha$  in the analysis). Later, [9] obtains another  $O(\log n)$  approximation algorithm, with a complicated algorithms based on [14], that needs multiple calls to Minimum Weight Perfect Matching. Two other algorithms also achieve a  $2(1 + \ln n)$ -approximation ratio: the Spider algorithm of [4] (which has the same ratio if the input graph is not bidirected) and the Relative

<sup>\*</sup>Department of Computer Science, Illinois Institute of Technology, Chicago, IL 60616, USA. calinescu@iit.edu. Research supported in part by NSF grant NeTS-0916743.

Greedy algorithm of [6] (which also achieves the best known approximation ratio, of 4.2, in the Euclidean input model).

All these algorithms use greedy methods, mostly adopted from the Steiner Tree problems and its variants (precisely, [15, 26, 14]). Most of these papers do not explicitly analyze the running time of the presented algorithms (and none, as given, is faster than O(mn)). We set to achieve the same  $2(1 + \ln n)$ -approximation ratio with an improved running time.

For this, we give a faster variant of the "Hypergraph-Greedy" algorithm of Mtenzi and Wan [18]. It turns out this algorithm is a special case of the greedy method for Polymatroid Cover of [25] (a simpler analysis in [13]), and we use this observation to give an alternative proof of its approximation ratio. For some readers, the direct proof of [18] may be more enlightening; we just point out in this paper that the proof is a special case of the [13] proof. We achieve a running time of  $O(m \log^2 n)$  by careful book-keeping and by using a data structure of [5].

The next section presents the Hypergraph-Greedy algorithm of [18] with our notation, and gives an alternative, shorter proof of its approximation ratio, based on [25] and [7]. Then, in Section 3, we describe how to use a known data structure. Section 4 combines several data structures with careful book-keeping and analysis to obtain the improved running time.

#### 2 Algorithm Description and Approximation Ratio

Given a directed edge uv, its undirected version is the undirected edge with endpoints u and v; for a set of directed edges F, we denote by  $\hat{F}$  the multiset of edges that are the undirected version of the edges of F.

For  $u \in V$  and  $r \in \{c(uv) \mid uv \in E\}$ , let S(u, r) be the directed star (or, simply, star) consisting of all the arcs uv with  $c(uv) \leq r$ . We call u the center of S and note that r is the power of S(u, r). For a directed star S, let p(S) denote its power, let E(S) be its set of arcs, and define V(S), its set of vertices, to be its center plus the heads of its arcs. See Figure 1 for an example. The algorithm treats V(S) as a hyperedge in a hypergraph with vertex set V.

The algorithms described use all the possible stars, and there are O(m) of them (for each vertex, the number of stars is its degree in the input graph). In the first phase, the algorithm keeps a set of stars (initially empty), giving a set of arcs H. It then selects the next star such that to maximize the decrease in the number of weakly connected components in (V, H) divided by the power of the star (see Figure 2). The first phase stops when (V, H) is weakly connected. At this moment, the second phase of the algorithm constructs a spanning tree in the undirected version of (V, H) (for example,



Figure 1: A star with center x and four arcs, of power  $\max\{2, 3, 4, 5\} = 5$ .



Figure 2: The current H is drawn as solid segments, with arrows indicating the direction of the edges. The directed edges with tail v are drawn as dashed segments. The star with center v and power 2 has one edge, and it does not decreases the number of weakly connected components of H. The star with center v and power 3, with two edges, decreases the number of weakly connected components of H by 1. The star S(v, 4) has three edges and also achieves a reduction of 1. S(v, 5)achieves a reduction of 2, and S(v, 8) achieves a reduction of 3. Among the stars with center v, the algorithm would choose S(v, 5) as the next star.

by breadth-first search or depth-first search), and it reorients if needed the edges of this tree to lead away from y (the vertex given in the input as the source), and thus obtains a feasible output. This re-orientation, first applied in [7], only works if the input graph is bidirected.

#### 2.1 Approximation Ratio

We first cast the problem in a different setting. A polymatroid  $f : 2^N \to \mathbb{Z}^+$  on a ground set N is a nondecreasing (monotone) integer-valued submodular function. A function f is monotone iff  $f(A) \leq f(B)$  for all  $A \subseteq B$ . A function f is submodular iff  $f(A) + f(B) \geq$  $f(A \cup B) + f(A \cap B)$  for all  $A, B \subseteq N$ . Polymatroids generalize matroids which have the additional condition that  $f(\{i\}) \leq 1$  for all  $i \in N$ . We call a subset  $A \subseteq N$ spanning iff f(A) = f(N).

Assume each element  $j \in N$  has a weight  $w_j$ . Define  $f_A(B) = f(A \cup B) - f(A)$  and  $t = \max_{i \in N} f(\{i\})$ . The greedy algorithm of Wolsey [25] find a  $H_t$ -approximation to the minimum weight polymatroid spanning set, where  $H_t$  is the  $t^{th}$  harmonic number,  $\sum_{i=1}^t (1/i)$ , which is known to be at most  $1 + \ln t$ . This algorithm, a generalization of Chvatal's algorithm [10] for Set Cover, starts with  $B = \emptyset$ , and as long as f(B) < f(N), adds to B the element  $j \in N$  that maximizes  $f_B(\{j\})/w_j$ .

In our setting, define N to be the set of all stars, and for a set B of stars, define f(B) to be the size of a maximal forest in  $\bigcup_{S \in B} \widehat{E(S)}$ . We do have a polymatroid: as explained in [21], Example 44.1(a), the rank function of a matroid (in our case, the graphic matroid, where a set of edges of an undirected graph is independent iff it is a forest) produces a polymatroid. Note that f(N) = n - 1 and a spanning set in the polymatroid corresponds to a set of stars whose arcs form a spanning weakly connected subgraph of G. Note also that, if for a set of stars B, we let co(B) be the number of connected components of  $(V, \bigcup_{S \in B} \widehat{E(S)})$ , we have f(B) = |V| - co(B). Then  $f_B(S) = f(\overline{\{S\}} \cup B) - f(B) =$  $|V| - co(B \cup \{S\}) - (|V| - co(B)) = co(B) - co(B \cup \{S\}),$ which is the decrease in the number of weakly connected components in (V, H) when H, given by  $\bigcup_{S' \in B} E(S')$ , is replaced by  $\bigcup_{S' \in B} E(S') \cup E(S)$ . With the weight of a star defined to be its power, the algorithm of [18] is the greedy algorithm for polymatroids.

Let OPT be an optimum solution of the instance at hand. Without increasing total power or decreasing connectivity, add, if needed, to OPT every arc vuwith  $c(vu) \leq p_{OPT}(v)$ . For each  $v \in V$ , call the star  $S = S(v, p_{OPT}(v))$  a star of OPT. Since OPT contains a path from the source y to every other vertex of G, we have that the stars of OPT form a spanning set in the polymatroid above. Thus, using [25], the collection of stars  $\mathcal{A}$  selected by the first phase of the algorithm satisfies:

$$\sum_{S \in \mathcal{A}} p(S) \leq (1 + \ln n) \sum_{S \text{ star of } OPT} p(S)$$

$$\leq (1 + \ln n) opt, \quad (1)$$

where opt = p(OPT).

Let H be obtained by keeping an arbitrary subtree of  $\bigcup_{S' \in \mathcal{A}} \widehat{E(S')}$  and orienting the edges away from the source y. For vertex  $u \in V$ , we denote by u' its parent in this outgoing arborescence. Also, we denote by  $\widetilde{c}(S)$ the center of star S. Now, using the argument of [8], we have:

$$p(H) = \sum_{u \in V} p_H(u)$$

$$\leq \sum_{u \in V} \left( \sum_{S \in \mathcal{A} \mid u = \tilde{c}(S)} p(S) + \sum_{S \in \mathcal{A} \mid u = \tilde{c}(S)'} p(S) \right)$$

$$\leq 2 \sum_{S \in \mathcal{A}} p(S),$$

where we use that a star  $S \in \mathcal{A}$  appears at most twice in the middle summation: once for the center of S, and once for the parent in H of the center of S. Combined with Inequality 1, we obtain the desired approximation ratio.

#### 3 The data structure used

A Rel-Max data structure stores a list of items i, sorted by their cost  $c_i$  (non-decreasing). A query is finding the j maximizing  $j/c_j$ . The update consists of, given i, remove the  $i^{th}$  item from the list (this changes the position of the items k, for k > i). Calinescu and Qiao [5] present an implementation for a generalization of *Rel-Max* queries/updates In their data structure, each item also has a "coverage"  $f_i$ , non-decreasing in i, and one must find the j maximizing  $f_j/c_j$ , while the update consist of re-setting, for given i and delta > 0, for all  $k \ge i$ ,  $f_k = f_k - \delta$ . Their approach is based on keeping upper convex hulls. See Figure 3 for some intuition.

It seems they re-invented some ideas from [19], also concerned with keeping convex hulls, under different update operations; [1] being a more recent work on this topic. [5] obtains an initialization/preprocessing time of  $O(l \log^2 l)$ , a query time of  $O(\log l)$ , and an update time of  $O(\log^2 l)$ , where l is the number of items in the initial list.

#### 4 Book-keeping

One needs to find the next star at most n times, and the main challenge is to compute the star that maximizes the decrease in the number of weakly connected components in (V, H) divided by the power of the star. The method of [18] is to try all O(m) stars, and with careful bookkeeping one gets a O(mn)-time algorithm. Our goal is  $O(m \log^2 n)$ .

For every u, let  $v_1^u, \ldots, v_{d(u)}^u$  be the neighbors of u in G, sorted in non-decreasing order by  $c(uv_i^u)$ . Let  $S_j(u)$  be the star with center u and power  $c(uv_i^u)$ .



Figure 3: Points  $P_i$  have coordinates  $(c_i, f_i)$ . On the left, an example of points  $P_i$ , with the upper convex hull drawn. Add for convenience  $P_0$  with coordinates (0,0). The answer to the query is the neighbor of  $P_0$  on the upper hull. On the right, top, the points  $P_i$  after  $f_7$  is updated (decreased), with the upper convex hull drawn. On the right, bottom, the points  $P_i$  after an update with i = 2, causing the second coordinate to drop for points  $P_2 \dots P_7$ . The upper convex hull is also drawn.

We keep the following three data structures. Let  $Q_1, \ldots, Q_l$  be the vertex sets of the current weakly connected components of (V, H). We keep the components by having an explicit representative vertex in each, that is, an array comp[v] stores the representative of the component containing vertex v. We keep l binary search trees  $B_i$  (for  $i \in \{1, 2, \ldots, l\}$ ), one for each component. The tree  $B_i$  keeps, sorted by ID, the nodes of  $Q_i$  together with the nodes u such that an edge  $uv \in E$  exists with  $v \in Q_i$ ; in this case we also store the smallest j such that  $v_j^u \in Q_i$ . For each component with vertex set  $Q_i$ , we explicitly keep  $|Q_i|$  and a linked list of its vertices.

For each u, we keep a list  $L_u$  of items j, each corresponding to the edge  $uv_j^u$  and of value  $c_j = c(uv_j^u)$ , sorted in non-decreasing order by  $c_j$ . We only keep the item j if there exists a  $Q_i$  with  $u \notin Q_i$  and j is smallest among those k with  $v_k^u \in Q_i$ . As an example, in Figure 1, we only keep items 2, 4, and 5 corresponding to the edges of cost 3, 5, and 8. Then it is easy to check that the star  $S_j(u)$  has endpoints in exactly l + 1 sets  $Q_i$ , where j is the  $l^{th}$  item in  $L_u$ . Moreover, among the stars with center u and endpoints in exactly l + 1sets  $Q_i$ , one with minimum power is  $S_j(u)$ , where j is the  $l^{th}$  item in  $L_u$ . Notice also that in this situation, l is the decrease in the number of weakly components if  $E(S_j(u))$  is added to H. We also keep, for each u, the value  $z_u = \min_{j \in L_u} l_j/c_j$ , where  $l_j$  is the position of item j in  $L_u$ , and the item  $j_u$  that achieves this minimum.

We also keep a binary max-heap with all  $u \in V$  having as key the value  $z_u$ . With these data structures, we can find the star that maximizes the decrease in the number of weakly components of H, divided by the power of the star, if we pick an u with maximum  $z_u$  and then use  $S_{j_u}(u)$ . Finding  $S_{j_u}(u)$  is then done in constant time.

Now we describe how the data structures are maintained when some  $S_{j_u}(u)$  is added to the set of selected stars. Let  $l_u$  be such that  $j_u$  is the  $l_u^{th}$  item in  $L_u$ , and let  $j_1, \ldots, j_{l_u}$ , be such that item  $j_i$  is the  $i^{th}$  item in  $L_u$ . Let  $Q_{k_0}$  be the vertex set of the component of u, and  $Q_{k_i}$  be the vertex set of the component of  $v_{j_i}$ . The way we keep  $L_u$  implies that these components are distinct.

The effect of adding  $S_j(u)$  to H is the merging into one of the components  $Q_{k_0}, Q_{k_1}, \ldots, Q_{k_l}$ . The algorithm will make these merges one by one, first  $Q_{k_0}$  with  $Q_{k_1}$ , then the result with  $Q_{k_2}$  (if  $l \ge 2$ ), and so on.

Consider such a merge between  $Q_r$  and  $Q_s$ , and assume by symmetry that  $|Q_r| \leq |Q_s|$ . We merge  $Q_r$  into  $Q_s$ ; that is  $Q_s$  will be the resulting component. First, for each vertex in  $Q_r$ , we add it to the list of vertices of  $Q_s$  and change its representative to the representative of  $Q_s$ . The running time is  $O(n \log n)$  over all the merges, since if we spend time on vertex v, v will become part of a component that has at least twice as many vertices as the component of v before the merge.

Second, we traverse (inorder) the binary tree  $B_r$ , and for each v in the tree we proceed as described in the four cases below. In Case 1,  $v \notin B_s$ ; then we insert v in  $B_s$  together with the *j*-index (if any) it has in  $B_r$ . In Case 2,  $v \in B_s$  and  $v \in Q_r$ ; then the v from  $B_s$  also has an index j such that  $w_i^v \in Q_s$  and such that j is the only item in  $L_v$  among those k with  $w_k^v \in Q_s$ . We update  $B_s$  to mark that  $v \in Q_s$ . We also remove item j from  $L_v$ , updating if necessary,  $z_v$ ,  $l_v$ , and the binary max-heap which keeps vertices u with keys  $z_u$ . Case 3 is when  $v \in Q_s$  (and thus  $v \in B_s$ ), and  $v \notin Q_r$  (recall that  $v \in B_r$ ; in this case, the v in  $B_r$  also has an index j such that  $w_j^v \in Q_r$  and such that j is the only item in  $L_v$  among those k with  $w_k^v \in Q_r$ . We also remove item j from  $L_v$ , updating if necessary,  $z_v$ ,  $l_v$ , and the binary max-heap which keeps vertices u with keys  $z_u$ . We update  $B_s$  to mark that  $v \in Q_s$ . Case 4 is when  $v \in B_s$ , but  $v \notin (Q_r \cup Q_s)$ ; then we have two indices j (from the v in  $B_r$ ) and j' (from the v in  $B_s$ ), such that  $w_i^v \in Q_s$ , and  $w_{i'}^v \in Q_r$ , and such j is the only item in  $L_v$  among those k with  $w_k^v \in Q_s$ , and such that j' is the only item in  $L_v$  among those k with  $w_k^v \in Q_r$ . We will keep the smaller of j, j' for the v in  $B_s$ , and remove the larger of j, j' from  $L_v$ , updating if necessary,  $z_v, l_v$ , and the binary max-heap which keeps vertices u with keys  $z_u$ .

To analyze the overall time of this updates, consider

this: each directed edge  $uv_j^u$  appears in  $L_u$  initially, but will only be removed once, with a time of  $O(\ln^2 n)$ . This time is also enough for updating  $z_u$  after this removal, and updating the position of u in the max-heap after  $z_u$ changes.

When we merge  $B_r$  in  $B_s$  above, other than removals from  $L_v$ 's, we spend  $O(\log n)$  per element of  $B_r$ , to find and if necessary insert it in  $B_s$ . Say we process a  $v \in B_r$ . If v appears in  $B_r$  without a j, or in other words,  $v \in Q_r$ , then we charge this  $O(\log n)$  to v. Vertex v can be charged at most  $\lg n$  times this way, as each time it belongs to a component with at least twice as many vertices. If v appears in  $B_r$  with a j, then we are in the following case: there a vertex  $w_j^v \in Q_r$ , the head of a directed edge  $vw_j^v$ . We charge the time spent to directed edge  $vw_j^v$ . Notice that  $w_j^v$  will belong to a component twice the size, and thus edge  $vw_j^v$  can be charged at most  $\lg n$  times. Each charge is  $O(\lg n)$ , thus we spend  $O(\lg^2 n)$  per vertex and per directed edge of v.

In conclusion, the running time of the Hypergraph-Greedy algorithm, implemented with these data structures is  $O(m \log^2 n)$ .

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