Approximation Algorithms for a Triangle Enclosure Problem

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Abstract

Given a set S of n points in the plane, we want to find a triangle, with vertices in S, such that the number of points of S enclosed by it is maximum. A solution can be found by considering all $\binom{n}{3}$ triples of points in S. We show that, by considering only triangles with at least 1, 2, or 3 vertices on the convex hull of S, we obtain various approximation algorithms that run in $o(n^3)$ time.

1 Introduction

Let S be a set of n points in the plane. A triangle $\triangle pqr$, with vertices $p, q, r \in S$, is defined to be *optimal* if the number of points of S enclosed by it is maximum. Eppstein *et al.* [1] have shown that this optimal triangle can be computed in $O(n^3)$ time: They present an algorithm that preprocesses the set S in $O(n^2)$ time so that, for any triple (p, q, r) of points in S, the number of points enclosed by $\triangle pqr$ can be computed in O(1) time. By considering all $\binom{n}{3}$ triples, we find an optimal triangle in $O(n^3)$ time.

Since it is not known if an optimal triangle can be computed in $o(n^3)$ time, we consider the problem of approximating it. That is, we will present several subcubic algorithms that compute triangles with vertices in S that enclose at least 1/c times as many points as an optimal triangle with vertices in S, for some approximation ratio c.

Our main approach is based on the simple fact that if a triangle \triangle can be covered by *c* triangles, then one of them is a *c*-approximation of \triangle .

We show that, by considering only triangles that contain at least 1, 2, or 3 vertices on the convex hull of S, we obtain approximation algorithms, for various values of c, that run in $o(n^3)$ time. Let h denote the number of vertices on the convex hull of S. A summary of our results is given in Table 1.

2 Preliminaries

We will assume that no three points in S are collinear and that no two points have the same y-coordinate.

vertices on the	approximation	runtime
convex hull	ratio	
≥ 1	2	$O(n^2)$
≥ 2	3	$O(nh^2\log n)$
≥ 2	4	$O(n\log^2 n)$
3	4	$O(nh^2\log h)$
3	8	$O(n\log^2 h)$
3	$3\log h$	$O(n\log h)$

Table 1: Summary of results.

The number of points *enclosed* by a triangle $\triangle pqr$ is the number of points contained in the interior of $\triangle pqr$. We say that $\triangle pqr$, with $p, q, r \in S$, is *optimal* if the number of points of S enclosed by it is maximum.

A triangle \triangle is a *c*-approximation of a triangle $\triangle pqr$ if \triangle encloses at least 1/c times as many points as $\triangle pqr$.

Observation 1 If a triangle $\triangle pqr$ can be covered by a set of c triangles then at least one of these triangles is a c-approximation of $\triangle pqr$.

In order to show that an algorithm gives a c-approximation of a triangle $\triangle pqr$ it is enough to show that the algorithm counts the number of points enclosed by each of the c triangles that cover $\triangle pqr$.

Let l(p,q) denote the directed line through points pand q, and let \overline{pq} denote the line segment between p and q. Define the *wedge* of a vertex p in a triangle $\triangle pqr$ as the area bounded by the lines l(q,p) and l(r,p) opposite the interior angle $\angle rpq$.

Lemma 1 The three wedges of an optimal triangle with vertices in S cannot contain any points of S.

Proof. Let $\triangle pqr$ be an optimal triangle with vertices in *S*. Assume that the wedge of *p* contains a point *p'* as in Figure 1. Then the triangle $\triangle p'qr$ encloses more points than $\triangle pqr$, as it encloses all of the points enclosed by $\triangle pqr$ in addition to the point *p*, giving a contradiction.

We refer to the three wedges of an optimal triangle as the *empty regions* of the optimal triangle.

3 Counting points in triangles with two fixed vertices on the convex hull

In order to approximate an optimal triangle in $o(n^3)$ time we need to be able to count the number of points

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Figure 1: The wedge of p cannot contain any points. The shaded regions denote the empty regions of $\triangle pqr$.

in a set of triangles in $o(n^3)$ time. Fixing two vertices of every triangle on the convex hull of S allows us to count the number of points enclosed by these triangles in $O(n \log n)$ time, or $O(n \log h)$ time if we only consider triangles with the third vertex on the convex hull.

Lemma 2 Given two points t_i and t_j on the convex hull of S we can count the number of points enclosed by every triangle $\Delta t_i t_j s$, $s \in S$, in $O(n \log n)$ time.

Proof. Without loss of generality assume that t_i is below t_j . Let S_L be the set of points of S lying to the left of $l(t_i, t_j)$ and let S_R be the set of points of S lying to the right of $l(t_i, t_j)$.

The following algorithm counts the number of points enclosed by every triangle $\Delta t_i t_j s$, $s \in S_L$. Counting the number of points enclosed by every triangle $\Delta t_i t_j s$, $s \in S_R$, is symmetric.

For each point $s \in S_L$, let s' be the intersection between the horizontal line through s and $l(t_i, t_j)$. Let $S_L^$ be the set of points in S_L lying below the horizontal line through t_i and let S_L^+ be the set of points lying above the horizontal line through t_i .

Let T be an initially empty balanced binary search tree such that every node in T stores the size of its subtree. Rotate a line anchored at t_i clockwise over the set S_L^- . When this line intersects a point $s \in S_L^-$ insert s into T using its y-coordinate as the key. The number of points enclosed by $\Delta t_i ss'$ is the number of successors of s in T immediately after inserting s.

To see why this is true let u be a successor of s in T found immediately after inserting s into T. Since u was inserted before, s the angle $\angle ut_i s'$ is less than $\angle st_i s'$. Since u is a successor of s in T, u is higher than s. Therefore u is enclosed by $\triangle t_i ss'$ (see Figure 2).

The number of points enclosed by every triangle $\Delta t_i ss', s \in S_L^+$, is found using the same technique, except that the line is rotated counter-clockwise over S_L^+ and the number of points in each $\Delta t_i ss', s \in S_L^+$, is the number of predecessors of s in T immediately after inserting s.

Counting the number of points enclosed by every triangle $\Delta t_j ss', s \in S_L$, is symmetric.

For each point $s \in S_L$ let $a_{i,s}$ be the number of points enclosed by $\Delta t_i ss'$ and let $a_{j,s}$ be the number of points enclosed by $\Delta t_j ss'$. Then the number of points enclosed



Figure 2: Point u is enclosed by $\Delta t_i ss'$.

by $\Delta t_i t_j s$ is either (1) $-a_{i,s} + a_{j,s}$ if s is below t_i , (2) $a_{i,s} - a_{j,s}$ if s is above t_j , or (3) $a_{i,s} + a_{j,s}$ otherwise. These cases are shown in Figure 3.



Figure 3: The three cases encountered when calculating the number of points enclosed by $\Delta t_i t_j s$.

It takes $O(n \log n)$ time to sort the points by angle about t_i and t_j . Inserting each point into the binary search tree takes $O(\log n)$ time. Since the binary search tree keeps track of the size of each subtree we can calculate the number of predecessors or successors of a point in the tree in $O(\log n)$ time. The total runtime is $O(n \log n)$.

If we fix two vertices on the convex hull of S we can count the number of points enclosed by every triangle containing these two vertices, with the third vertex on the convex hull, without sorting the entire set S. This lets us count the number of points enclosed by every such triangle in $O(n \log h)$ time.

Lemma 3 Given two points t_i and t_j on the convex hull of S we can count the number of points enclosed by every triangle $\triangle t_i t_j t_k$ where t_k , $1 \le k \le h$, is a point on the convex hull of S, in $O(n \log h)$ time.

Proof. Without loss of generality assume that t_i is below t_j . Let S_L be the set of points of S lying to the left of $l(t_i, t_j)$ and let S_R be the set of points of S lying to the right of $l(t_i, t_j)$.

The following algorithm counts the number of points enclosed by every triangle $\Delta t_i t_j t_k$, where $t_k \in S_L$ is a point on the convex hull between t_i and t_j . Counting the number of points enclosed by every triangle $\Delta t_i t_j t_k$, where $t_k \in S_R$ is a point on the convex hull, is symmetric. The number of points enclosed by $\Delta t_i t_j t_k$, with $t_k \in S_L$, is found by subtracting the number of points in S_L lying to the left of $l(t_i, t_k)$, or to the right of $l(t_j, t_k)$, from the number of points in S_L .

A point $s \in S_L$ lies to the left of $l(t_i, t_k)$ if the line $l(t_i, s)$ intersects the convex hull between t_i and t_k . Similarly, s lies to the right of $l(t_j, t_k)$ if $l(t_j, s)$ intersects the convex hull between t_k and t_j (see Figure 4).



Figure 4: Lines through t_i and the points lying to the left of $l(t_i, t_k)$ intersect the convex hull between t_i and t_k . Lines through t_j and points lying to the right of $l(t_i, t_k)$ intersect the convex hull between t_k and t_j .

Let $a_{i,k}$ be the number of lines $l(t_i, s)$, $s \in S_L$, that intersect the edge $\overline{t_k t_{k+1}}$ of the convex hull and let $a_{j,k}$ be the number of lines $l(t_j, s)$, $s \in S_L$, that intersect the edge $\overline{t_k t_{k+1}}$ of the convex hull.

Let $b_{i,k}$ be the total number of lines $l(t_i, s)$, $s \in S_L$, that intersect the convex hull between points t_i and t_k and let $b_{j,k}$ be the total number of lines $l(t_j, s)$, $s \in S_L$, that intersect the convex hull between t_k and t_j .

The number of points enclosed by triangle $\triangle t_i t_j t_k$ is $|S_L| - (b_{i,k} + b_{j,k} - 1).$

The sets S_L and S_R are found in O(n) time. The convex hull can be found in $O(n \log h)$ time and the intersection of a line and the convex hull can be found in $O(\log h)$ time by performing a binary search on the edges of the convex hull. Then the *a*-variables are computed in $O(n \log h)$ time and the *b*-variables are computed in O(h) time. The total runtime is $O(n \log h)$. \Box

4 Triangles with one fixed vertex on the convex hull

Lemma 4 Let z be the lowest point in S. Let x and y be points in S such that $\triangle xyz$ encloses the maximum number of points of S. Then $\triangle xyz$ is a 2-approximation of an optimal triangle with vertices in S.

Proof. Let $\triangle pqr$ be an optimal triangle with vertices in *S*. Draw a line from *z* to each vertex of $\triangle pqr$. By Lemma 1 the point *z* cannot lie in any of the empty regions of $\triangle pqr$. Then one of the lines from *z* must cross an edge of $\triangle pqr$.

Without loss of generality assume that \overline{zp} crosses the edge \overline{qr} . Then the two triangles $\triangle pqz$ and $\triangle rpz$ cover

 $\triangle pqr$ (see Figure 5). By Observation 1 one of these triangles is a 2-approximation of $\triangle pqr$.



Figure 5: Triangles $\triangle pqz$ and $\triangle rpz$ cover $\triangle pqr$.

Theorem 5 A 2-approximation of an optimal triangle with vertices in S can be found in $O(n^2)$ time.

Proof. Let z be the lowest point in S. Count the number of points enclosed by every triangle containing vertex z and return the triangle found that encloses the most points.

There are $\binom{n}{2}$ triangles containing vertex z so this takes $O(n^2)$ time using the data structure from [1]. The approximation ratio follows from Lemma 4.

5 Triangles with at least two vertices on the convex hull

In this section we consider triangles with at least two vertices on the convex hull of S.

Lemma 6 Let \triangle be a triangle, with vertices in S, such that at least two of its vertices are on the convex hull of S, that encloses the maximum number of points of S. Then \triangle is a 3-approximation of an optimal triangle with vertices in S.

Proof. Let $\triangle pqr$ be an optimal triangle with vertices in *S*. Assume that none of the vertices of $\triangle pqr$ lie on the convex hull of *S*. Then there exist edges $\overline{t_i t_{i+1}}$, $\overline{t_j t_{j+1}}$ and $\overline{t_k t_{k+1}}$ of the convex hull that cross the empty regions of $\triangle pqr$. Figure 6 shows how we can use the end points of two of these edges, and one vertex of $\triangle pqr$, to cover $\triangle pqr$ with three triangles. By Observation 1 one of these triangles is a 3-approximation of $\triangle pqr$.

This approximation factor is tight. Figure 7 shows an example of a set of points where $\triangle pqr$ encloses three times as many points as any triangle \triangle , with vertices in S, with at least two vertices on the convex hull of S. There is no such triangle \triangle that covers more than one of the shaded regions in Figure 7. If we put mpoints in each of these regions then $\triangle pqr$ will enclose 3m points while any triangle with at least two vertices on the convex hull of S can enclose at most m+1 points.



Figure 6: Three triangles that cover $\triangle pqr$.



Figure 7: A set S, with an optimal triangle $\triangle pqr$, such that there are no triangles with at least two vertices on the convex hull of S that enclose more than 1/3 times as many points as $\triangle pqr$. Symmetric cases are not shown.

Theorem 7 A 3-approximation of an optimal triangle with vertices in S can be found in $O(\min(n^2 + nh^2, nh^2 \log n))$ time.

Proof. Count the number of points enclosed by every triangle with at least two vertices on the convex hull of S and return the triangle found that encloses the most points. There are $(n - h) \binom{h}{2}$ such triangles so this takes $O(n^2 + nh^2)$ time using the data structure from [1] or $O(h^2 n \log n)$ time using the algorithm presented in Lemma 2. The approximation ratio follows from Lemma 6.

Theorem 8 A 4-approximation of an optimal triangle with vertices in S can be found in $O(n \log^2 n)$ time.

Proof. Consider the following algorithm: Sort the points of S clockwise by angle about the lowest point z in S. Let s_m be the median of S by angle and let $\overline{t_i t_{i+1}}$ be the edge of the convex hull that intersects $l(z, s_m)$. Count the number of points enclosed by every triangle $\triangle z t_i s$ and $\triangle z t_{i+1} s$, $s \in S$, using the algorithm in Lemma 2. Let S_L be the set of points lying to the left of $l(z, s_m)$ and let S_R be the set of points lying to the right of $l(z, s_m)$. Recursively run the algorithm on the sets S_L and S_R and return the triangle found that encloses the most points.

To prove the approximation ratio, let $\triangle pqr$ be an optimal triangle with vertices in S. Let x and y be points

in S such that $\triangle xyz$ encloses the maximum number of points of S. From Lemma 4 $\triangle xyz$ is a 2-approximation of $\triangle pqr$.

Consider the recursive call where x and y lie on opposite sides of the line $l(z, s_m)$. At least one of t_i and t_{i+1} must lie above l(x, y), otherwise $\overline{t_i t_{i+1}}$ wouldn't be an edge of the convex hull. If t_i lies above l(x, y) then $\triangle xyz$ is covered by triangles $\triangle zxt_i$ and $\triangle yzt_i$ (as in Figure 8). Otherwise if t_{i+1} lies above l(x, y) then $\triangle xyz$ is covered by triangles $\triangle zxt_{i+1}$ and $\triangle yzt_{i+1}$. By Lemma 1 one of these triangles is a 2-approximation of $\triangle xyz$ and, therefore, a 4-approximation of $\triangle pqr$.



Figure 8: Triangles $\triangle zxt_i$ and $\triangle yzt_i$ cover $\triangle xyz$.

Sorting the points by angle takes $O(n \log n)$ time. Finding the edge of the convex hull that intersects the line through z and the median takes $O(\log h)$ time if we perform a binary search on the precomputed edges of the convex hull. Counting the number of points enclosed by every triangle $\triangle zt_is$ and $\triangle zt_{i+1}s$, $s \in S$, takes $O(n \log n)$ time using the algorithm presented in Lemma 2. The total amount of work done at each step is $O(n \log n)$. S_L and S_R each contain half of the points of S so the complexity of this algorithm satisfies the equation $T(n) = 2T(n/2) + O(n \log n)$ which solves to $O(n \log^2 n)$.

6 Triangles with three vertices on the convex hull

In this section we consider triangles with three vertices on the convex hull of S.

Lemma 9 Let \triangle be a triangle, whose vertices are on the convex hull of S, that encloses the maximum number of points of S. Then \triangle is a 4-approximation of an optimal triangle with vertices in S.

Proof. Let $\triangle pqr$ be an optimal triangle with vertices in *S*. Assume that none of the vertices of $\triangle pqr$ lie on the convex hull of *S*. Then there exist edges $\overline{t_i t_{i+1}}$, $\overline{t_j t_{j+1}}$ and $\overline{t_k t_{k+1}}$ of the convex hull that cross the empty regions of $\triangle pqr$. Figure 9 shows how we can use the end points of these edges to find a set of at most four triangles that cover $\triangle pqr$. By Lemma 1 one of these triangles is a 4-approximation of $\triangle pqr$.



Figure 9: Four triangles that cover $\triangle pqr$.

This approximation factor is tight. Figure 10 shows an example where $\triangle pqr$ encloses four times as many points of S as any triangle \triangle , whose vertices are on the convex hull of S. There is no such triangle \triangle that covers more than one of the four shaded regions in Figure 10. If we put m points in each of these regions then $\triangle pqr$ will enclose 4m points while any triangle whose vertices are on the convex hull of S can enclose at most m + 1points.



Figure 10: A set S, with an optimal triangle $\triangle pqr$, such that there are no triangles, whose vertices are on the convex hull of S, that cover more than 1/4 times as many points as $\triangle pqr$. Symmetric cases are not shown.

Theorem 10 A 4-approximation of an optimal triangle with vertices in S can be found in $O(\min(n^2 + h^3, h^2 n \log h))$ time.

Proof. Count the number of points enclosed by every triangle with three vertices on the convex hull of S and return the triangle found that encloses the most points. There are $\binom{h}{3}$ such triangles so this takes $O(n^2 + h^3)$ time using the data structure in [1] or $O(h^2 n \log h)$ time using the algorithm presented in Lemma 3. The approximation ratio follows from Lemma 9.

Theorem 11 An 8-approximation of an optimal triangle with vertices in S can be found in $O(n \log^2 h)$ time.

Proof. Consider the following algorithm: Let $t_1 \ldots t_h$ be the vertices of the convex hull of S given in clockwise order starting at the lowest point $z = t_1$ and let t_m be the median of the convex hull. Count the number of points enclosed by every triangle containing vertices z and t_m , with the third vertex on the convex hull of S, using the algorithm described in Lemma 3. Let S_L be the set of points of S lying on or to the left of $l(z, t_m)$ and

let S_R be the set of points of S lying on or to the right of $l(z, t_m)$. Recursively run the algorithm on the sets S_L and S_R and return the triangle found that encloses the most points.

To prove the approximation ratio, let $\triangle pqr$ be an optimal triangle with vertices in S. Let x and y be points in S such that $\triangle xyz$ encloses the maximum number of points of S. From Lemma 4 $\triangle xyz$ is a 2-approximation of $\triangle pqr$.

Assume that x and y are not on the convex hull. Then there exist edges $\overline{t_i t_{i+1}}$ and $\overline{t_k t_{k+1}}$ that cross the empty regions of $\triangle xyz$. Let t_j be any point on the convex hull between t_{i+1} and t_k . Figure 11 shows how we can use the points z, t_i , t_{i+1} , t_j , t_k and t_{k+1} to construct four triangles that cover $\triangle xyz$. By Lemma 1 one of these triangles is a 4-approximation of $\triangle xyz$ and, therefore, an 8-approximation of $\triangle pqr$.



Figure 11: Four triangles that cover $\triangle xyz$.

Consider the recursive call where x and y lie on opposite sides of $l(z, t_m)$. When this occurs t_m is on the convex hull between t_{i+1} and t_k . Thus, in the previous argument, we can take $t_j = t_m$. Then in this call we count the number of points in triangles $\triangle zt_jt_{i+1}$ and $\triangle zt_jt_k$.

In another recursive call either t_i or t_{i+1} is the median of the convex hull and we count the number of points enclosed by the triangle $\triangle zt_it_{i+1}$. Similarly there is a recursive call where either t_k or t_{k+1} is the median and we count the number of points enclosed by $\triangle zt_kt_{k+1}$.

The convex hull of S can be found in $O(n \log h)$ time [2] and does not need to be computed at each step. Each step requires O(n) time to find S_L and S_R and $O(n \log h)$ time to count the number of points enclosed by every triangle with vertices z and t_m , with the third vertex on the convex hull of S, by Lemma 3. When we recursively call the algorithm on the sets S_L and S_R the size of the convex hulls of S_L and S_R are half the size of the convex hull of S and the total number of points in S_L and S_R is the number of points in S. The complexity of this algorithm satisfies the equation $T(h,n) = T(h/2, n_1) + T(h/2, n - n_1) + O(n \log h)$ for some $1 \leq n_1 < n$. The solution to this equation is $O(n \log^2 h)$. We can obtain an $O(\log h)$ -approximation of the optimal triangle with vertices in S in $O(n \log h)$ time by triangulating the convex hull of S and choosing the triangle in this triangulation that encloses the maximum number of points of S.

Let $T = \emptyset$ be an initially empty set of triangles. Initialize $R = r_1, r_2, \ldots, r_h$ to the points of the convex hull of S given in clockwise order. For each point $r_i \in R$ such that i is odd add the triangle $\triangle r_i r_{i+1} r_{i+2}$ to Tand remove r_{i+1} from R. Renumber the elements of Ras r_1, r_2, \ldots and repeat the previous steps until R has less than 3 points. This gives a triangulation T of the convex hull of S (see Figure 12). At each iteration we remove half of the points in R, so T is constructed in O(h) time after constructing the convex hull of S in $O(n \log h)$ time [2].



Figure 12: Triangulation of the convex hull of a set of points.

Lemma 12 Any line crosses at most $2 \log h$ triangles of T.

Proof. Let R_i denote the sequence of points in R at the *i*th iteration of the triangulation algorithm.

Observe that R_i and R_{i+1} are convex polygons and that any triangle added to T in the *i*th iteration has edges in R_i and R_{i+1} only (see Figure 13). Then any line can intersect at most two of the triangles of T added during the *i*th iteration of the triangulation algorithm.

There are $\log h$ iterations of the algorithm, so any line crosses at most $2 \log h$ triangles in T.

Lemma 13 Any triangle \triangle , with vertices in S, can be covered by at most $3 \log h$ triangles in T.

Proof. Observe that any triangle in T that partially covers \triangle must cross at least two edges of \triangle , since every triangle in T has vertices on the convex hull of S. By Lemma 12 each edge of \triangle can cross at most $2 \log h$ triangles in T. Then the edges of \triangle can cross at most $6/2 \log h$ different triangles in T. Therefore \triangle can be covered by at most $3 \log h$ triangles in T. \Box

Theorem 14 A $3 \log h$ -approximation of an optimal triangle with vertices in S can be found in $O(n \log h)$ time.



Figure 13: Any line can cross at most two of the triangles added during the *i*th iteration of the algorithm. The shaded regions denote triangles added to T during the *i*th iteration.

Proof. For each point $s \in S$ we can find the triangle in T enclosing s in $O(\log h)$ time: Start with the innermost triangle $\Delta t_i t_j t_k$. If s is in this triangle we are done. Otherwise s lies to the left of one of the lines $l(t_i, t_j)$, $l(t_j, t_k)$ or $l(t_k, t_i)$. Without loss of generality let s lie to the left of $l(t_i, t_j)$. Repeat the previous steps with the triangle immediately to the left of the line $l(t_i, t_j)$. At each step we remove 2/3 of the triangles. Since there are O(h) triangles it takes $O(\log h)$ time to find the triangle of T that encloses s. Therefore it takes $O(n \log h)$ time to find the triangle in T that encloses the maximum number of points of S. The approximation ratio follows from Observation 1 and Lemma 13.

7 Conclusion

It is not known whether the $O(n^3)$ time algorithm used to find the triangle enclosing the most points is optimal. Similarly it is unclear if the runtimes of our approximations are optimal.

Eppstein *et al.* [1] studied the more general problem of finding a convex k-gon that is optimal for some weight function, for example the minimum or maximum number of points, or the minimum perimeter. Their algorithm runs in $O(kn^3)$ time. It would be interesting to see if any of our results can be applied to these problems.

References

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